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Refinement of saliva microRNA biomarkers for sports-related concussion

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Purpose: Recognizing sport-related concussion (SRC) is challenging and relies heavily on subjective symptom reports. An objective, biological marker could improve recognition and understanding of SRC. There is emerging evidence that salivary micro-ribonucleic acids (miRNAs) may serve as biomarkers of concussion; however, it remains unclear whether concussion-related miRNAs are impacted by exercise. We sought to determine whether 40 miRNAs previously implicated in concussion pathophysiology were affected by participation in a variety of contact and non-contact sports. Our goal was to refine a miRNA-based tool capable of identifying athletes with SRC without the confounding effects of exercise.

Methods: This case-control study harmonized data from concussed and non-concussed athletes recruited across 10 sites. Levels of salivary miRNAs within 455 samples from 314 individuals were measured with RNA sequencing. Within-subjects testing was used to identify and exclude miRNAs that changed with either: (a) a single episode of exercise (166 samples from 83 individuals) or (b) season-long participation in contact sports (212 samples from 106 individuals). The miRNAs that were not impacted by exercise were interrogated for SRC diagnostic utility using logistic regression (172 samples from 75 concussed and 97 non-concussed individuals).

Results: Two miRNAs (miR-532-5p, miR-182-5p) decreased (adjusted \( p < 0.05 \)) after a single episode of exercise, and 1 miRNA (miR-4510) increased only after contact sports participation. Twenty-three miRNAs changed at the end of a contact sports season. Two of these miRNAs (miR-26b-3p, miR-29e-3p) were associated (\( R > 0.5 \); adjusted \( p < 0.05 \)) with the number of head impacts sustained in a single football practice. Among the 15 miRNAs not confounded by exercise or season-long contact sports participation, 11 demonstrated a significant difference (adjusted \( p < 0.05 \)) between concussed and non-concussed participants, and 6 displayed moderate ability (AUC > 0.70) to identify concussion. A single ratio (miR-27a-5p/miR-30a-3p) displayed the highest accuracy (AUC = 0.810, sensitivity = 82.4%, specificity = 73.3%) for differentiating concussed and non-concussed participants. Accuracy did not differ between participants with SRC and non-SRC (\( z = 0.5, p = 0.60 \)).

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Abstract

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Conclusion: Salivary miRNA levels may accurately identify SRC when not confounded by exercise. Refinement of this approach in a large cohort of athletes could eventually lead to a non-invasive, sideline adjunct for SRC assessment.

Q2 Keywords: Biomarker; Contact sports; Football; RNA; Traumatic brain injury

1. Introduction

Sport-related head trauma is one of the most common causes of concussion.1 Field-side assessment of sport-related concussion (SRC) relies on subjective symptom reports. However, some athletes may conceal symptoms to keep playing.2 Neurocognitive and balance assessments may also be administered, but these can be manipulated to expedite return to play.3,4 As a result, there has been much interest in developing a biological test (i.e., biomarker) for concussion.5 Biomarkers have been defined by the World Health Organization as “a substance, structure, or process that can be measured in the body or its products and influence or predict the incidence of outcome or disease.”6 A biomarker would add objectivity to the diagnosis and management of SRC, thereby reducing external pressures on athletes to report symptoms. Such a test might also be used to stratify biological phenotypes within SRC, leading to individualized prognoses and treatment protocols.7

There is growing evidence that levels of micro-ribonucleic acids (miRNAs) are altered in the cerebrospinal fluid,8 blood,9,10 and saliva11,12 of individuals with concussion. Salivary miRNAs, in particular, possess several qualities of an ideal SRC biomarker: (1) Released from cranial nerves in the oropharynx within minutes of head impact,13 these molecular “switches” provide a rapid window into the physiology of the injured brain; (2) certain miRNAs are highly enriched within neurons, yielding a brain-specific signature14,15; and (3) neurons can package miRNAs within protective vesicles,16 which renders them stable, and easily measured through a non-invasive collection process.12 Recently, we demonstrated that salivary miRNA levels could identify individuals with concussion with similar accuracy to traditional balance and neuropsychological measures, and pairing salivary miRNA measures with subjective symptom reports could enhance diagnostic accuracy.17 Successful application of salivary miRNA technology will require researchers to determine how individual characteristics (such as previous concussion history) impact miRNA levels, while thoroughly investigating test—retest reliability and change indices.18,19 Application as a sideline tool for SRC will also require an understanding of how acute and chronic exercise impacts salivary miRNA levels.

Individual miRNAs that reflect the physiological changes occurring with exertion, cumulative fatigue, minor musculoskeletal injuries, or enhanced conditioning may be unsuitable for SRC detection.20 Several studies have examined the impact of contact and non-contact sports participation on blood miRNA levels.21,22 Several studies seeking to develop salivary miRNA biomarkers for concussion have utilized subjects from specific contact sports as controls.13,17,24 However, few studies have specifically examined the acute response of salivary miRNAs to exercise.25,26 To our knowledge, no study has described saliva miRNA dynamics across a wide range of contact and non-contact sports or determined the effects of season-long contact sport participation on saliva miRNA levels. Such knowledge is critical for development of accurate miRNA biomarkers of SRC because saliva miRNAs that change during season-long sport participation may confound comparisons with pre-season baseline levels. Likewise, saliva miRNAs that change during acute exercise may confound field-side SRC tests. The purpose of this study was to identify and exclude salivary miRNAs confounded by exercise, in order to refine a saliva miRNA approach for sideline detection of SRC.

We hypothesized that a subset of 40 miRNAs previously shown to identify concussion17,27 would be impacted by a single episode of exercise or display longitudinal accumulation across an entire season of contact sport participation, rendering them unsuitable as concussion biomarkers. We posited that the remaining miRNAs (i.e., those unaffected by exercise) could accurately differentiate athletes with SRC from peers with recent participation in contact and non-contact sports. To our knowledge, this is the first study to harmonize salivary miRNA profiles from a large cohort of athletes participating in both contact and non-contact sports during periods of acute and chronic exercise.

2. Methods

2.1. Ethics statement

Ethical approval for this study was provided by Western IRB Study (#1271583). Institutional approval was also provided by collaborating institutions: Penn State University (STUDY00003729), SUNY Upstate Medical University (1070727), Marist College (#S18-033), Syracuse University (#17-374), Vanderbilt University (#181814), Bridgewater College (19-005), and the United States Army, Regional Health Command-Atlantic (#1510001-1). Written, informed consent/assent was obtained from all participants.

2.2. Experimental design

The goal of this prospective multi-center study was to refine a set of 40 saliva miRNAs with diagnostic potential for SRC17,27 by eliminating miRNAs confounded by acute or chronic exercise, which would negatively impact the accuracy of field-side miRNA measurement or post-injury comparisons to a pre-season miRNA baseline. To achieve this goal, the study employed a 3 step approach: (1) saliva miRNAs impacted by “acute exercise” were identified within 83 individuals who completed a single workout involving one of 4 sports (running, rowing, soccer, or football); (2) saliva miRNAs impacted by “chronic exercise” were identified within...
274 106 individuals who completed a full season involving one of
275 5 full-contact sports (lacrosse, basketball, hockey, soccer, or
276 mixed martial arts); and (3) the ability of saliva miRNAs not
277 impacted by “acute exercise” or “chronic exercise” to differenti-
278 ate 75 individuals with recent concussion (<24 h post-injury)
279 from 97 individuals without recent concussion was assessed
280 via logistic regression analysis. Misclassification rates were
281 compared between individuals with SRC and individuals with
282 non-SRC as well as between individuals with recent exercise
283 and without recent exercise.
284
285 2.3. Participants
286
287 The study included a convenience sample of 455 saliva
288 samples from 314 individuals, ages 8–58 years. All partici-
289 pants were enrolled as part of a larger parent study 1 at 10
290 institutions: Bridgewater College (n = 20), Colgate University
291 (n = 55), the United States Army Combative School (n = 23),
292 Marist College (n = 45), Penn State University (n = 52), New
293 York Institute of Technology (n = 28), SUNY Upstate Medical
294 University (n = 14), Syracuse University (n = 12), Temple Un-
295 iversity (n = 6), and Vanderbilt University (n = 1). Recruitment
296 was performed by research staff at affiliated emergency
297 departments, athletic training facilities, and acute care clinics.
298 Inclusion criteria were (1) concussion within the previous 24 h
299 (identified by licensed clinicians using the 2016 Concussion in
300 Sport Group definition 19) or (2) active participation in orga-
301 nized sport (defined as participation in a collegiate, semi-pro-
302 fessional, or military-affiliated athletic event). Exclusion
303 criteria for all participants were primary language other than
304 English, pregnancy, periodontal disease, neurologic disorder
305 (e.g., epilepsy), drug/alcohol dependency, respiratory infec-
306 tion, and orthopedic injury (sprain, contusion, or fracture
307 within 14 days of enrollment). Participants with concussion
308 were excluded for Glasgow Coma Score of ≤13 at the time of
309 initial injury, penetrating head injury, symptoms attributable
310 to underlying psychological disorder (e.g., depression, anxiety,
311 post-traumatic stress disorder), over-night hospitalization for
312 concussion, skull fracture, or findings of intracranial bleed on
313 imaging (if performed).
314
315 2.3.1. Group 1: Acute exercise
316
317 First, we sought to determine the acute impact of exercise
318 on concussion-related miRNAs and assess whether exercise-
319 induced changes in saliva miRNAs differed between athletes
320 in contact versus non-contact sports. We assessed miRNA lev-
321 els within 166 saliva samples from 83 individuals. Paired
322 saliva samples were collected from each individual between
323 15 min and 60 min prior to sport participation, and again
324 within 20 min of exercise completion. There were 51 partici-
325 pants in non-contact sports: 25 collegiate distance runners
326 completing their weekly “long run” (duration of ≥55 min, cov-
327 ering ≥20% of weekly running distance 19; 20 college students
328 completing a graded treadmill protocol; and 6 collegiate row-
329 ers completing a high-intensity 50-min ergometer workout.
330 There were 32 participants in contact sports: 12 semi-profes-
331 sional soccer players participating in a 45-min, full-contact
332 scrimmage; and 20 collegiate football athletes completing a
333 full-contact practice that was 120 min in duration. The number
334 of head impacts sustained by each contact sport athlete were
335 recorded through direct observation by research staff (4
336 researchers observed 4 different athletes across 5 separate
337 practices). None of the participating athletes were diagnosed
338 with a concussion during the practice.
339
340 2.3.2. Group 2: Chronic exercise (season-long contact sport
341 participation)
342
343 Second, we sought to determine whether concussion-related
344 saliva miRNAs change as a result of chronic exercise (through-
345 out a season of contact sport participation). We assessed
346 miRNA levels within 212 paired saliva samples from 106 ath-
347 letes. Saliva was collected at the outset of the athletic season
348 from 83 athletes involved in lacrosse, basketball, hockey, or
349 soccer, as well as 23 U.S. Army soldiers performing mixed-
350 martial arts training. Saliva samples were collected from each
351 individual again at the completion of the athletic season
352 (30–115 days after enrollment). None of the participants were
353 diagnosed with a concussion during the course of their athletic
354 season.
355
356 2.3.3. Group 3: SRC diagnosis
357
358 Third, we sought to employ salivary miRNAs unaffected by
359 exertion or season-long participation in contact sports (in
360 Groups 1 and 2) to refine a diagnostic adjunct for SRC. We
361 assessed salivary miRNA levels within 172 saliva samples
362 from 172 athletes. There were 75 individuals with concussion
363 and 97 without concussion. The participants without concus-
364 sion included 46 college athletes who had participated in sport
365 ≤30 min prior to saliva collection, and 51 college athletes
366 with no recent sport participation immediately prior to saliva
367 collection. Concussion mechanism was self-reported as sport-
368 related (n = 42) or non-sport-related (n = 33). Athletes with
369 non-SRCs were included in order to determine whether a pre-
370 dictive model using salivary miRNAs would perform similarly
371 for SRCs and non-SRCs.
372
373 2.4. Measures
374
375 Medical and demographic information (sex, age, race, anthropometrics, dietary restrictions, co-morbid medical condi-
376 tions) was collected from all participants via survey. Presence/absence of any previous concussion was self-reported.
377 For athletes with acute participation in contact sports, the number
378 of head impacts sustained during practice was recorded through 1:1 observation by research staff. Helmet-to-ground, helmet-to-helmet, and helmet-to-body impacts were counted. Saliva was collected from each participant following oral tap-
379 water rinse, in a non-fasting state, using swabs (OraCollect RE-100, DNA Genotek, Ottawa, Canada) or expectorant kits
380 (OraCollect P-157, DNA Genotek, Ottawa, Canada) or expectorant kits
381 (OraCollect P-157, DNA Genotek, Ottawa, Canada). Per man-
382 ufacturer instructions, samples were stored at room tempera-
383 ture for up to 60 days prior to their shipment to the SUNY
384 Genomic Core Facility. Samples were then incubated at 5°C
385 and frozen at −20°C prior to RNA analysis.
386
2.5. Saliva RNA analysis

As we have previously reported,^{17,29} RNA was isolated from each saliva sample per manufacturer instructions with the miRNeasy Kit (217084, Qiagen, Inc., Germantown, MD, USA). RNA quality was assessed using the Agilent Technologies Bioanalyzer (2100 Bioanalyzer Instrument, Santa Clara, CA, USA). The TruSeq Small RNA Library Prep Kit (RS-122-2001, Illumina, San Diego, CA, USA) was used to prepare RNA libraries. Sequencing was performed on an Illumina NextSeq (Nextseq500, Illumina, San Diego, CA, USA) instrument at a sequencing depth of 10 million reads/sample. Cutadapt (https://cutadapt.readthedocs.io/en/stable/) was used to remove adapter sequences,^{30} prior to alignment with miR-Base22 using Bowtie (http://bowtie-bio.sourceforge.net/index.shtml). Quantification was performed via SamTools (http://www.htslib.org/) using a custom-built bio-informatics architecture.^{31} Aligned reads were quantile normalized. Each miRNA feature was scaled (mean-centered, divided by the feature standard deviation). Down-stream analysis focused on 40 miRNA candidates previously identified in published studies of traumatic brain injury.^{17,27}

2.6. Statistical analysis

The acute influence of exercise on salivary levels of concussion-related miRNAs was assessed (166 samples, 83 participants) using a within-subject non-parametric 2-way analysis of variance. Levels of the 40 miRNAs were the dependent factors. Exercise status (pre/post-participation) and sport type (contact/non-contact) were the independent variables. The cumulative effect of season-long contact sport participation on miRNA levels was assessed (212 samples, 106 participants) using a within-subject non-parametric one-way analysis of variance. To explore whether the miRNAs displaying season-long changes during contact sport participation might be affected by cumulative head impacts (as opposed to cumulative fatigue, minor musculoskeletal injuries, or enhanced conditioning), we performed Spearman’s rank testing to identify associations between pre-/post-practice changes in miRNA levels and the number of head impacts sustained during practice. Finally, the salivary miRNAs that demonstrated no effect of acute exercise or season-long sports participation in the previous analyses were interrogated for the ability to differentiate 75 concussed and 97 non-concussed participants via logistic regression analysis. Accuracy of each miRNA was assessed by 100-fold cross-validated area under the receiver operating characteristic curve (AUC). Sensitivity, specificity, positive/negative likelihood ratios, and between groups differences (on non-parametric Wilcoxon rank testing) were also determined for each miRNA. To promote translation to a sideline point-of-care device using dual-channel PCR (which allows quantification of two miRNAs in one well), the miRNA ratio with the highest AUC was used to develop a logistic regression model that predicted concussion status within the Metaboanalyst v4.0 biomarker toolkit.^{42} To assess the potential confounding effects of exercise on the predictive model, a two-proportion z test was used to compare misclassification rates of concussed individuals with SRC versus non-SRC. Statistical analyses were performed in Metaboanalyst,^{42} and Benjamini–Hochberg correction was applied to all analysis of variance results. An a priori power analysis using MDAnderson Bioinformatics software (https://bioinformatics.manders.org/MicroarraySampleSize) determined that a sample size of 14 participants per group provided 80% power to detect a 1.5-fold difference among 40 miRNAs (per-gene α: 0.05), based on the standard variance observed in our previous miRNA datasets.^{12,13,25}

3. Results

3.1. Participant characteristics

Participants were mostly college-aged, White males (Table 1). Few participants had anxiety (8/314; 3%), depression (14/314; 5%), attention deficit hyperactivity disorder (11/314; 4%), or dietary restrictions (25/314; 8%). One-quarter of the participants (78/314; 25%) had suffered a previous concussion.

3.2. Salivary miRNAs within a single episode of acute exercise

Assessment of the 40 concussion-related miRNAs among 83 individuals immediately before/after participation in contact (n = 32) or non-contact sports (n = 51) revealed 2/40 miRNAs with an effect (adjusted p < 0.05) of exercise (Fig. 1A). Levels of both miR-532-5p and 182-5p decreased with exercise. Only 1 miRNA responded uniquely to contact sports (i.e., displayed an interaction effect between exercise and sport-type). Levels of miR-4510 increased only among contact sport athletes (Fig. 1B). There was no relationship between the number of observed head impacts and levels of miR-532-5p (r = 0.16, p = 0.48), miR-182-5p (r = 0.15, p = 0.50), or miR-4510 (r = 0.17, p = 0.46) among athletes involved in contact sports.

3.3. Salivary miRNAs across a season of contact sport participation

Comparison of saliva miRNA levels within 106 collegiate athletes and soldiers participating in contact sports demonstrated changes (adjusted p < 0.05) in 23/40 concussion-related miRNAs at the end of the season (Fig. 2). There were 9 miRNAs that increased and 14 miRNAs that decreased at the end of the season. One of these miRNAs (miR-532-5p) had also displayed decreased levels with acute exercise. Among 20 football athletes participating in a full-contact practice, pre-/post-practice changes in 2 of the 23 miRNAs demonstrated an association (R = 0.50, p < 0.05) with the number of head impacts sustained during practice (Fig. 3). Levels of miR-29c-3p increased with the number of head impacts (R = 0.59, p = 0.006), while levels of miR-26b-3p decreased with the number of head impacts (R = -0.51, p = 0.020). Similarly, levels of miR-29c-3p also increased during contact sports season, whereas miR-26b-3p levels decreased, suggesting these season-long changes might be related to cumulative head impacts.
Saliva microRNA biomarkers of concussion

Table 1
Participant characteristics.

<table>
<thead>
<tr>
<th></th>
<th>All</th>
<th>Acute exercise</th>
<th>Sports season</th>
<th>Sports related concussions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>All</td>
<td>SRC</td>
<td>Non-SRC</td>
<td>Exercise CTRL</td>
</tr>
<tr>
<td></td>
<td>All</td>
<td>SRC</td>
<td>Non-SRC</td>
<td>Exercise CTRL</td>
</tr>
<tr>
<td></td>
<td>All</td>
<td>SRC</td>
<td>Non-SRC</td>
<td>Exercise CTRL</td>
</tr>
<tr>
<td>Total</td>
<td>314</td>
<td>106</td>
<td>83</td>
<td>172</td>
</tr>
<tr>
<td>Demographics</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sex (male)</td>
<td>198 (63)</td>
<td>83 (78)</td>
<td>47 (57)</td>
<td>105 (61)</td>
</tr>
<tr>
<td>Age (year)</td>
<td>21 ± 6</td>
<td>23 ± 5</td>
<td>20 ± 1</td>
<td>20 ± 7</td>
</tr>
<tr>
<td>White</td>
<td>208 (66)</td>
<td>74 (79)</td>
<td>57 (69)</td>
<td>114 (86)</td>
</tr>
<tr>
<td>Black</td>
<td>27 (11)</td>
<td>14 (15)</td>
<td>6 (10)</td>
<td>18 (14)</td>
</tr>
<tr>
<td>Asian</td>
<td>2 (1)</td>
<td>0 (0)</td>
<td>1 (2)</td>
<td>1 (1)</td>
</tr>
<tr>
<td>Medical</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Height (in)</td>
<td>68 ± 6</td>
<td>70 ± 4</td>
<td>69 ± 4</td>
<td>67 ± 7</td>
</tr>
<tr>
<td>Weight (lbs)</td>
<td>162 ± 41</td>
<td>171 ± 38</td>
<td>166 ± 27</td>
<td>161 ± 49</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td>24.6 ± 8</td>
<td>24.6 ± 4</td>
<td>24.1 ± 2</td>
<td>25.1 ± 11</td>
</tr>
<tr>
<td>Anxiety</td>
<td>8 (3)</td>
<td>3 (4)</td>
<td>2 (2)</td>
<td>3 (2)</td>
</tr>
<tr>
<td>Depression</td>
<td>14 (5)</td>
<td>9 (13)</td>
<td>0 (0)</td>
<td>7 (4)</td>
</tr>
<tr>
<td>ADHD</td>
<td>11 (4)</td>
<td>3 (4)</td>
<td>5 (6)</td>
<td>4 (2)</td>
</tr>
<tr>
<td>Oral</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Time of collection (h)</td>
<td>9 AM ± 4</td>
<td>11 AM ± 4</td>
<td>11 AM ± 4</td>
<td>10 AM ± 4</td>
</tr>
<tr>
<td>Dietary restrictions</td>
<td>25 (9)</td>
<td>7 (10)</td>
<td>6 (7)</td>
<td>11 (7)</td>
</tr>
<tr>
<td>Head injury details</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Past concussion</td>
<td>78 (25)</td>
<td>32 (31)</td>
<td>17 (20)</td>
<td>48 (28)</td>
</tr>
<tr>
<td>Hits to head (n (range))</td>
<td>NA</td>
<td>8 (0 – 50)</td>
<td>NA</td>
<td>NA</td>
</tr>
<tr>
<td>Time from TBI to collection (h)</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
</tr>
</tbody>
</table>
| Notes: Date are presented as mean ± standard deviation or number (%). Percent reflects the percentage of respondents with a particular trait/condition, not percentage of all participants with the trait/condition. A portion (n = 47) of control participants in the sports-related concussion group were derived from the acute exercise and sports season groups, which is why the total unique participants (n = 314) is less than the group totals (n = 361) reflected in the table. Respondents were given the opportunity to select more than 1 race.      * p < 0.05 compared to SRC. Abbreviations: ADHD = attention deficit hyperactivity disorder; BMI = body mass index; CTRL = Control Participant; SRC = sports-related concussion.

Fig. 1. Three salivary miRNAs acutely impacted by sport participation. (A) The box plots represent quantile normalized concentrations for 2 miRNAs (miR-532-5p and miR-182-5p) with a significant change (adjusted p < 0.05) among 83 athletes pre-exercise (red) to post-exercise (green). (B) There was 1 miRNA (miR-4510) with a significant interaction (adjusted p < 0.05) between sport type and exercise. Levels of miR-4510 increased among 32 contact sport athletes post-exercise, but not among 51 non-contact sport athletes. miRNA = micro ribonucleic acid.

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3.4. Diagnostic ability of SRC-related miRNAs

The 15/40 salivary miRNAs unaffected by acute exercise or season-long exercise were interrogated as diagnostic candidates for SRC. Ability of individual miRNAs to differentiate concussed and non-concussed participants was quantified by AUC on a logistic regression analysis (Table 2). The majority of these candidates (11/15) demonstrated a significant difference (adjusted \( p < 0.05 \)) between concussed and non-concussed participants, and six miRNAs displayed moderate differential ability (AUC \( \geq 0.70 \)). The most accurate miRNA for differentiating concussed and non-concussed participants was miR-27a-5p (AUC = 0.78, sensitivity = 74.2%, specificity = 74.7%). A logistic regression model employing a ratio of miR-27a-5p and miR-30a-3p \( \text{logit}(P) = 0.155 + 0.169 \text{miR-27a-5p/miR-30a-3p} \) achieved the highest accuracy (AUC = 0.810, sensitivity = 82.4%, specificity = 73.3%) for differentiating concussion status (Fig. 4). Adding age and sex as covariates to this model did not appreciably change predictive accuracy (AUC = 0.811, 95%CI: 0.711–0.907). There was no difference \( (z = 1.69, p = 0.09) \) in the proportion of misclassified individuals with recent exercise (29/88, 33%) versus those without recent exercise (18/84, 21%). Similarly, there was no difference \( (z = 0.5, p = 0.60) \) in the proportion of

Fig. 2. Twenty-three salivary miRNAs change at the end of a contact sports season. The heat map displays mean levels of 23 miRNAs that showed a significant change (adjusted \( p < 0.05 \)) between pre- and post-season levels among 106 athletes and soldiers participating in contact sports. There were 14 miRNAs with decreased salivary levels (blue) at the end of the season, and 9 miRNAs with increased salivary levels (red). The miRNAs are clustered based on expression similarity using a Ward clustering algorithm with a Pearson distance metric. miRNA = micro ribonucleic acid.
misclassified individuals with SRC (10/42, 24%), versus those with non-SRC (6/33, 19%).

4. Discussion

This study identified salivary miRNAs that could potentially identify SRC without confounding effects of acute exercise or season-long training. A single miRNA ratio (measurable in one tube using dual-channel quantitative polymerase chain reaction) identified concussion status with an AUC of 0.810. This accuracy is similar to that of serum biomarkers.33–36,18,37–40 For any biological marker of SRC, it is critical to determine the impact of acute or chronic exercise.41–43 The present study defines this important relationship for saliva miRNAs.

4.1. Clinical implications

Clinicians performing sideline assessments of athletes rely primarily on subjective symptom reports, balance measures, and neurocognitive testing to identify SRC.2,44 These tools perform optimally when baseline assessments are available.45 Despite their diagnostic utility, they can be manipulated by athletes wishing to avoid removal from play.3,46 Neurocognitive testing must be administered by a trained professional,47 and the time required for test administration often prohibits sideline administration.48 Development of an objective saliva
miRNA assessment for concussion could address several of these limitations. Perhaps the biggest value of salivary miRNA is rapid obtainment with minimal medical training. Previous studies have demonstrated that miR-27a and miR-30a levels may hold diagnostic utility for concussion when measured in blood, or saliva.\(^9\)\(^{–}\)\(^1\)\(^1\)\(^4\)\(^,\)^\(^1\)\(^4\)\(^\prime\) However, serum markers involve a blood draw, which requires an invasive medical procedure with potential for infection. Measurement of sensitive and specific salivary miRNAs in real time could lead to a rapid sideline test, easily deployed by athletic trainers. Such a test could prove particularly useful when assessing athletes with transient symptoms who pass sideline testing, but where suspicion of underlying head injury persists. Although miR-27a and miR-30a are found in tissues throughout the human body,\(^5\)\(^6\)\(^,\)^\(^5\)\(^1\) both miRNAs are expressed by peripheral nerves (such as those innervating the pharynx), where they have been shown to control pathophysiologic processes relevant to concussion, such as pain modulation and cerebral ischemia—reperfusion injury.\(^5\)\(^2\)\(^,\)^\(^5\)\(^3\)

### 4.2. Biological implications

The biological relevance of miR-27a and miR-30a to SRC pathophysiology underscores the unique role that these biomarkers may serve in SRC. Existing SRC assessments characterize subjective symptoms and functional changes that arise from brain injury. However, these measures do not detect the brain injury itself.\(^5\)\(^4\) Reliance on such assessments may contribute to under-recognition of brain injury.\(^5\)\(^5\)\(^–\)\(^5\)\(^7\) It may also hinder advances in SRC treatment, which currently focuses on addressing symptomatic manifestations, rather than underlying biological changes. Finally, reliance on symptomatic and functional changes diminishes the importance of minor head impacts that may accumulate to raise an athlete’s SRC risk.\(^5\)\(^8\)\(^,\)^\(^5\)\(^9\) Since it will be nearly impossible for diagnostic biomarkers to outperform a subjective gold standard of SRC, integration of biomarkers into SRC evaluation and treatment will likely require a major paradigm shift. For example, in the present study, 2 molecules implicated in concussion pathophysiology (miR-26b-3p and miR-29c-3p) were found to change at the end of a contact sports season and to correlate with the burden of non-concussive head impacts. Validation of this relationship in a larger, longitudinal cohort of contact sport athletes with sensitive measures of head impact burden could eventually be used to create a biological test for non-concussive head impacts that raise SRC risk.

### 4.3. Strengths and limitations

The enrollment of athletes from a wide variety of sports, across 10 different clinical sites, enhances the generalizability of these findings. To our knowledge, this is the largest study of miRNA changes with exercise,\(^6\)\(^0\) and the first to examine saliva miRNA changes with both acute exercise and cumulative (season-long) sports participation. We note that the timing between exercise and saliva collection varied among participants. Participants with SRC were enrolled within 24 h of injury (and exercise) because previous research has shown that...
exercise can impact miRNA levels for up to 24 h. Exercise controls were enrolled within 2 h of exercise. This difference in “recent exercise” definition may have impacted misclassification rates for the predictive miRNA ratio. However, we expect that such a difference would lead to over-estimation of misclassification rates, which did not differ between individuals with/without recent exercise. In addition, few miRNAs (2/40) displayed an acute effect of exercise, and these miRNAs were excluded from diagnostic consideration.

Our study design does not allow us to definitively determine whether the saliva miRNAs that change with season-long participation in contact sports are impacted by cumulative fatigue, musculoskeletal injuries, or conditioning. Our exploratory analysis suggested that two saliva miRNAs that changed during a contact sports season (miR-26b-3p and miR-29c-3p) were also associated with the number of head impacts in a single practice. However, larger studies utilizing more sensitive, objective measures of head impacts over a full season of contact sport are needed to confirm this finding. Use of accelerometers or video recordings may provide more accurate estimates of head impacts than the direct observation used in this study. Our analysis of season-long changes in salivary miRNAs excluded participants who suffered a concussion during the course of the season as well as participants who had orthopedic injuries at the time of salivary collection, but no data are available for intra-season injuries. Finally, the study was not powered to examine sport-specific effects on salivary miRNA levels because our goal was to identify saliva miRNAs that display consistent changes across a wide variety of sports, which may limit their utility as sideline biomarkers for SRC.

5. Conclusion

This study contributes to growing evidence regarding salivary miRNAs as potential concussion biomarkers. We identify a subset of salivary miRNAs that are impacted by acute exercise or season-long participation in contact sports. Such miRNAs may constitute poor biomarkers for SRC because they could confound sideline measurements or comparisons with pre-season baselines. The salivary miRNAs unaffected by exercise represent promising biomarker candidates for SRC. Indeed, a ratio of two of these miRNAs (miR-27a-5p and miR-30a-3p) differentiated individuals with concussion regardless of exercise status and displayed similar accuracy to serum biomarkers. Future investigations prospectively validating the response of these miRNAs in large populations of athletes would provide additional evidence for their clinical utility in SRC.

Authors’ contributions

SDH designed the study, oversaw sample collection, analyzed the data, and drafted and revised the manuscript; ACL and SLZ contributed to the study design. RYK, KJZ, JL, RPO, CM, MMM, TL, MH, LM, EF, MND, and TRC were involved in sample acquisition. GF and SD oversaw sample processing; ZG and GF contributed to data analysis; CO, SLZ, and MND participated in the drafting of the article. All authors reviewed and approved the final article, and agree with the order of presentation of the authors.

Declaration of Competing Interest

SDH is a paid consultant and scientific advisory board member for Quadrant Biosciences. He is named as a co-inventor on intellectual property related to saliva RNA biomarkers in concussion that is patented by The Penn State College of Medicine and licensed to Quadrant Biosciences. SDV and GF are paid employees of Quadrant Biosciences. CN has equity interest in Quadrant Bioscience Inc. The other authors declare that they have no competing interests.

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Supplementary materials

Supplementary material associated with this article can be found in the online version at doi:10.1016/j.jshs.2021.08.003.

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