

2004

# Loss and Dispersion Analysis of Microstructured Fibers by Finite-Difference Method

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Guo, Shangping; Wu, Feng; Albin, Sacharia; Tai, Hsiang; and Rogowski, Robert S., "Loss and Dispersion Analysis of Microstructured Fibers by Finite-Difference Method" (2004). *Electrical & Computer Engineering Faculty Publications*. 172.  
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## Original Publication Citation

Guo, S., Wu, F., Albin, S., Tai, H., & Rogowski, R. S. (2004). Loss and dispersion analysis of microstructured fibers by finite-difference method. *Optics Express*, 12(15), 3341-3352. doi:10.1364/OPEX.12.003341

# Loss and dispersion analysis of microstructured fibers by finite-difference method

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Abstract: The dispersion and loss in microstructured fibers are studied using a full-vectorial compact-2D finite-difference method in frequency-domain. This method solves a standard eigen-value problem from the Maxwell's equations directly and obtains complex propagation constants of the modes using anisotropic perfectly matched layers. A dielectric constant averaging technique using Ampere's law across the curved media interface is presented. Both the real and the imaginary parts of the complex propagation constant can be obtained with a high accuracy and fast convergence. Material loss, dispersion and spurious modes are also discussed.

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OCIS code: (060.3310) Fiber optics, (260.2110) Electromagnetic theory

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## 1. Introduction

Microstructured fibers have been under intensive study as they offer design flexibility in controlling the mode propagation properties. These fibers have some extraordinary properties, such as endless single mode propagation, special dispersion, and high or low nonlinear effects. Air-guiding fibers such as the air-core photonic band gap fibers or Bragg fibers are also of considerable interest. Reviews of photonic crystal fibers can be found in [1-3] and the references therein.

In general, the modeling of these fibers takes advantage of the fact that the E or H field can be decomposed into longitudinal and transverse components in waveguides with invariant index profiles along z-direction. The field can be written as:

$$\xi(x, y, z, t) = \{\xi_t(x, y) + \xi_z(x, y)\} \exp[-j(\alpha x - \beta z)] \quad (1)$$

where  $\xi$  denotes E or H field and the subscripts  $t$  and  $z$  denote respectively the transverse and longitudinal components.

The full-vectorial Helmholtz equations can be obtained by substituting Eq. (1) into Maxwell's equations:

$$(\nabla_t^2 + k_0^2 n^2 - \beta^2) E_t = -\nabla_t (E_t \cdot \nabla_t \ln n^2) \quad (2a)$$

$$(\nabla_t^2 + k_0^2 n^2 - \beta^2) H_t = (\nabla_t \times H_t) \times \nabla_t \ln n^2 \quad (2b)$$

$$(\nabla_t^2 + k_0^2 n^2 - \beta^2) E_z = j\beta E_t \cdot \nabla_t \ln n^2 \quad (2c)$$

$$(\nabla_t^2 + k_0^2 n^2 - \beta^2) H_z = (\nabla_t H_z + j\beta H_t) \cdot \nabla_t \ln n^2 \quad (2d)$$

The Helmholtz equations give several important conclusions: it is possible to form an eigen-value problem using the transverse E or H components since Eqs. (2a-b) only contain the transverse components. However, this is not true for the z-components since they are coupled to the transverse components. When the index change is small and the coupled items on the right-hand side are omitted, (which is the scalar approximation), all four equations become the same, and an eigen-value problem can be formed for any E or H components.

In the holey fibers or microstructured fibers, the index contrast of the materials is generally high, hence the scalar wave analysis methods are not accurate to predict their propagation properties; a full-vector approach is required. So far, a few full-vector methods have been used to characterize microstructured fibers, such as the plane wave expansion method (PWM) [4-8], localized function method [9-12], beam propagation method [13-16], finite-element method (FEM) [17-22], and finite-difference method (FDM) in time domain [23-28] or frequency domain [29-31]. Specifically, a highly accurate semi-analytical multipole method [32] has been developed to model fibers with circular air hole inclusions. A brief review of these methods is given below.

The PWM is an extension of modeling for photonic crystals. It assumes an infinite periodic index profile and treats the unit cell or supercell by applying the Bloch boundary conditions. The eigen-matrix is a full matrix and the complexity is the same as the PWM for photonic crystals. It is adequate for index-guiding fibers with many periodic air holes in the cladding, however, the artificial periodic boundary condition and supercell approach are not very suitable for many real fiber structures with a finite number of air holes.

The localized function method based on Galerkin method has been widely used for waveguide analysis, both scalar and vectorial problems. This method applies a set of localized orthogonal functions, such as Sine [33], Laguerre-Gauss [34, 35] (for 1D waveguide), and Hermite-Gauss [6, 9, 10, 12] (for 2D problem), to approximate the unknown mode fields of the localized modes. When the mode is far away from cut-off or well confined, the mode fields can be approximated using tens or hundreds of functions. These methods generally involve integrations, which are computation intensive, and the convergence is generally a problem.

Finite-element method [17-22] is a powerful numerical tool for waveguide problems. It often combines the beam propagation method or simply solves the Helmholtz equation in frequency domain by discretizing the region of interest into triangular cells, which is able to represent fine curved structures. Hence, FEM can provide high accuracy, but the complexity of the algorithm implementation and the computation is generally high.

Finite-difference method using Yee's mesh [36] is popular for electromagnetic problems, and a compact-2D scheme is often used for waveguides. Compared to FEM, FDM is much easier to implement; yet, it is able to offer a comparable accuracy. The FDM approaches include time domain (FDTD) and frequency domain (FDFD) methods. The compact-2D FDTD [23-28] method solves the eigen-frequency for a given propagation constant, and therefore is unable to process material dispersion. The FDFD approaches include those based on solving the Helmholtz equation [29, 30] or Maxwell's equations [31] directly. Both material dispersion and material loss are easy to incorporate in FDFD. The latter approach is appealing due to its many merits. There are no second order derivatives; the method is fast and accurate, mathematically simple and straightforward; all six fields are obtained from the transverse E or H field; different boundaries such as Bloch boundary for photonic band gap calculations [37-39] can be readily applied; and sparse techniques can be used to reduce computation.

In practical holey fibers with air hole inclusions, the confinement (by either index guiding or PBG guiding) is not perfect due to the finite cladding; hence, the confinement loss is a significant characteristic of the microstructured fiber. It has been calculated using several methods, including the semi-analytical multipole method [32], Fourier expansion method [40], and FEM with anisotropic perfectly matched layers (PMLs) [19]. PWM and Hermite-Gauss methods have not been used for leakage loss calculations.

In this paper, we use the compact-2D FDFD approach described in [31] for optical waveguides based on solving the Maxwell's equations directly to include the calculation of mode leakage loss due to the finite cladding. By applying the anisotropic PML layers, both the dispersion and loss properties can be evaluated in a single run. The method preserves all the advantages discussed above and is simple and straightforward. The curved profile is studied carefully to increase both the accuracy and convergence of the complex propagation constant.

The analysis method is given in section 2; numerical results for a PCF with a single ring of air holes are analyzed in section 3 along with the averaging technique at the media interface, and finally conclusions are given in section 4.

## 2. Analysis method

The leakage loss of a mode can be represented by the imaginary part of its complex propagation constant. To model the leakage, an open boundary condition has to be used, which produces no reflection at the boundary. The PMLs are so far the most efficient

absorption boundary condition for this purpose. The split-field PML proposed by Berenger [41] which is often used in FDTD algorithm cannot be applied in frequency domain methods such as the FEM and FDFD methods since it introduces non-Maxwellian equations. The equivalent nonsplit-field anisotropic PML [13, 42-47] has been proposed instead to simulate the open environment in these applications. The Maxwell equations for optical waveguide with anisotropic PML boundaries are expressed as:

$$\begin{aligned} jk_0 s \boldsymbol{\varepsilon}_r \mathbf{E} &= \nabla \times \mathbf{H} \\ -jk_0 s \boldsymbol{\mu}_r \mathbf{H} &= \nabla \times \mathbf{E} \end{aligned} \quad (3)$$

$$s = \begin{bmatrix} s_y/s_x & & \\ & s_x/s_y & \\ & & s_x s_y \end{bmatrix} \quad (4)$$

For  $\exp(-j\omega t)$  convention which is used in this paper:

$$s_x = 1 - \frac{\sigma_x}{j\omega \varepsilon_0}, \quad s_y = 1 - \frac{\sigma_y}{j\omega \varepsilon_0} \quad (5)$$

where  $\sigma$  is the conductivity profile.

In the compact-2D scheme for waveguides, the z-derivatives are replaced by analytical expressions using Eq. (1), and other derivatives are replaced by finite differences in Yee's mesh. Therefore, the curl equations (3) can be expressed in a matrix form:

$$-jk_0 \begin{bmatrix} s_y/s_x \boldsymbol{\varepsilon}_{rx} & & \\ & s_x/s_y \boldsymbol{\varepsilon}_{ry} & \\ & & s_x s_y \boldsymbol{\varepsilon}_{rz} \end{bmatrix} \begin{bmatrix} E_x \\ E_y \\ E_z \end{bmatrix} = \begin{bmatrix} 0 & -j\beta l & V_y \\ j\beta l & 0 & -V_x \\ -V_y & V_x & 0 \end{bmatrix} \begin{bmatrix} H_x \\ H_y \\ H_z \end{bmatrix} \quad (6a)$$

$$jk_0 \begin{bmatrix} s_y/s_x \boldsymbol{\mu}_{rx} & & \\ & s_x/s_y \boldsymbol{\mu}_{ry} & \\ & & s_x s_y \boldsymbol{\mu}_{rz} \end{bmatrix} \begin{bmatrix} H_x \\ H_y \\ H_z \end{bmatrix} = \begin{bmatrix} 0 & -j\beta l & U_y \\ j\beta l & 0 & -U_x \\ -U_y & U_x & 0 \end{bmatrix} \begin{bmatrix} E_x \\ E_y \\ E_z \end{bmatrix} \quad (6b)$$

where the U and V are sparse matrices which are obtained in the same way as in [31, 37] using a zero boundary outside of the PML layers. There is no need to treat PML in a special way as in split-field scheme.

For simplicity, we assume:

$$\boldsymbol{\varepsilon}'_{rx} = s_y/s_x \boldsymbol{\varepsilon}_{rx}, \quad \boldsymbol{\varepsilon}'_{ry} = s_x/s_y \boldsymbol{\varepsilon}_{ry}, \quad \boldsymbol{\varepsilon}'_{rz} = s_x s_y \boldsymbol{\varepsilon}_{rz} \quad (7)$$

and

$$\boldsymbol{\mu}'_{rx} = s_y/s_x \boldsymbol{\mu}_{rx}, \quad \boldsymbol{\mu}'_{ry} = s_x/s_y \boldsymbol{\mu}_{ry}, \quad \boldsymbol{\mu}'_{rz} = s_x s_y \boldsymbol{\mu}_{rz} \quad (8)$$

Substituting Eq. (6a) into Eq. (6b) and eliminating  $H_z$  as in [31], an eigen-value problem can be obtained for  $H_t$ :

$$\begin{bmatrix} Q_{xx} & Q_{xy} \\ Q_{yx} & Q_{yy} \end{bmatrix} \begin{bmatrix} H_x \\ H_y \end{bmatrix} = \beta^2 \begin{bmatrix} H_x \\ H_y \end{bmatrix} \quad (9)$$

and similarly for  $E_t$ :

$$\begin{bmatrix} P_{xx} & P_{xy} \\ P_{yx} & P_{yy} \end{bmatrix} \begin{bmatrix} E_x \\ E_y \end{bmatrix} = \beta^2 \begin{bmatrix} E_x \\ E_y \end{bmatrix} \quad (10)$$

where:

$$P_{xx} = \mu'_{ry} V_y \mu'^{-1}_{rz} U_y + U_x \epsilon'^{-1}_{rz} V_x \epsilon'_{rx} + k_0^2 \mu'_{ry} \epsilon'_{rx} + k_0^{-2} U_x \epsilon'^{-1}_{rz} \{V_x V_y - V_y V_x\} \mu'^{-1}_{rz} U_y \quad (11a)$$

$$P_{xy} = -\mu'_{ry} V_y \mu'^{-1}_{rz} U_x + U_x \epsilon'^{-1}_{rz} V_y \epsilon'_{ry} + k_0^{-2} U_x \epsilon'^{-1}_{rz} \{V_y V_x - V_x V_y\} \mu'^{-1}_{rz} U_x \quad (11b)$$

$$P_{yx} = -\mu'_{rx} V_x \mu'^{-1}_{rz} U_y + U_y \epsilon'^{-1}_{rz} V_x \epsilon'_{rx} + k_0^{-2} U_y \epsilon'^{-1}_{rz} \{V_x V_y - V_y V_x\} \mu'^{-1}_{rz} U_y \quad (11c)$$

$$P_{yy} = \mu'_{rx} V_x \mu'^{-1}_{rz} U_x + k_0^2 \mu'_{rx} \epsilon'_{ry} + U_y \epsilon'^{-1}_{rz} V_y \epsilon'_{ry} + k_0^{-2} U_y \epsilon'^{-1}_{rz} \{V_y V_x - V_x V_y\} \mu'^{-1}_{rz} U_x \quad (11d)$$

and

$$Q_{xx} = \epsilon'_{ry} U_y \epsilon'^{-1}_{rz} V_y + V_x \mu'^{-1}_{rz} U_x \mu'_{rx} + k_0^2 \epsilon'_{ry} \mu'_{rx} + k_0^{-2} V_x \mu'^{-1}_{rz} \{U_x U_y - U_y U_x\} \epsilon'^{-1}_{rz} V_y \quad (12a)$$

$$Q_{xy} = -\epsilon'_{ry} U_y \epsilon'^{-1}_{rz} V_x + V_x \mu'^{-1}_{rz} U_y \mu'_{ry} + k_0^{-2} V_x \mu'^{-1}_{rz} \{U_y U_x - U_x U_y\} \epsilon'^{-1}_{rz} V_x \quad (12b)$$

$$Q_{yx} = -\epsilon'_{rx} U_x \epsilon'^{-1}_{rz} V_y + V_y \mu'^{-1}_{rz} U_x \mu'_{rx} + k_0^{-2} V_y \mu'^{-1}_{rz} \{U_x U_y - U_y U_x\} \epsilon'^{-1}_{rz} V_y \quad (12c)$$

$$Q_{yy} = \epsilon'_{rx} U_x \epsilon'^{-1}_{rz} V_x + k_0^2 \epsilon'_{rx} \mu'_{ry} + V_y \mu'^{-1}_{rz} U_y \mu'_{ry} + k_0^{-2} V_y \mu'^{-1}_{rz} \{U_y U_x - U_x U_y\} \epsilon'^{-1}_{rz} V_x \quad (12d)$$

In the absence of PML media, the equations given above are reduced to those obtained in [31]. Hence, we can validate our results by setting the thickness of PML layers to zero.

The waveguide is encompassed by PML layers followed by a layer of perfect electric conductor (PEC) or zero boundary. The modes leaking out of the fiber will be absorbed efficiently by the PML with very small reflections; hence, the effect of the artificial boundary on the modes in the PCF will be minimized (especially for those well-localized guided modes).

### 3. Numerical results

The PCF example in [32] is used in this paper since the analytical results using multipole method are available for comparison. The PCF has a single ring of air holes (6 holes) in glass fiber. The parameters used are: the lattice constant  $a = 6.75\mu\text{m}$ , the air hole radius  $r = 2.5\mu\text{m}$ , and the refractive index of the glass is 1.45. The material dispersion is omitted since it is trivial to include it in a compact-2D FDFD scheme. According to the multipole method, the accurate effective mode index at wavelength  $1.45\mu\text{m}$  would be  $1.445395345 + 3.15 \times 10^{-8}i$ .

This PCF has a symmetry of  $C_{6v}$  (six-fold rotation symmetry and at least one plane of reflection symmetry), and the computation region can be reduced using the symmetry properties by applying a combination of PEC and PMC (perfect magnetic conductor) [48]. The PCFs with such symmetry supports eight mode classes. Figure 1 shows the fiber profile and a quarter of the whole region used for computation of the third and fourth mode classes, which are degenerate pairs with a 90-degree rotation symmetry including the fundamental mode. Glass material is assumed to extend uniformly to infinity, and PML layers are used outside the computation region with a 2<sup>nd</sup>-order power law profile. The computation region is chosen to be  $1.5a$  along both x and y directions and the thickness of the PML layers is 10% of the thickness of the inside area along x or y direction.

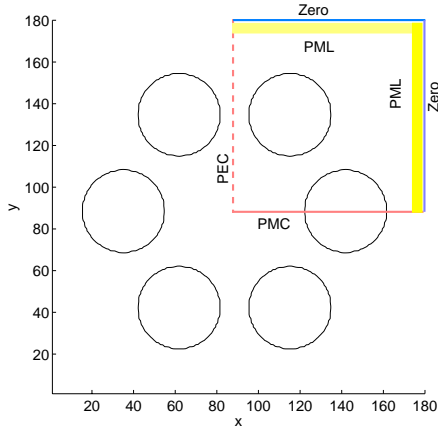


Fig. 1. The PCF under study. A quarter of the PCF is used in calculation, which can obtain the third and fourth mode classes with a 90-degree rotation symmetry.

The computation region is discretized by a 2D Yee's mesh. The curved interface crossing a cell is generally approximated using a staircase scheme or averaged using the effective index scheme. The averaging technique is shown to be very effective in increasing convergence and accuracy as in [31]. Considering two different media in the cell, the average dielectric constant in the cell can be evaluated as:

$$\epsilon = \epsilon_a f + \epsilon_b (1 - f) \quad (13)$$

where  $f$  is the fraction of the first material  $\epsilon_a$ .

Figure 2 shows the relative error of the calculated complex effective mode index of the fundamental mode with different number of grids. The real part of the effective mode index converges quickly to an accuracy of  $10^{-5} \sim 10^{-6}$  even if a coarse mesh (for example,  $30 \times 30$ ) is used; the accuracy is sufficient to obtain group velocity dispersion and other parameters. However, the imaginary part converges rather slowly with a relative error in the range of  $10^{-1} \sim 10^{-2}$  with almost 30% relative error using a  $30 \times 30$  mesh. Though it converges to the true value with a fine mesh, the slow convergence is still not satisfactory.

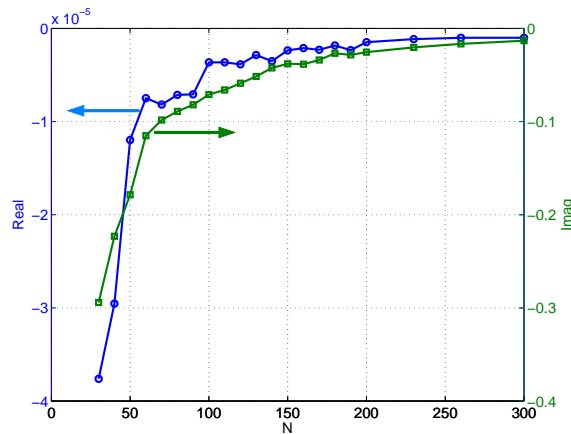


Fig. 2. The relative error of the calculated complex mode index of the fundamental mode. The y-axis is the relative error of the real and imaginary part of the mode index of the fundamental mode. Note the different scales of the two y-axes.



We found that the slow convergence of the imaginary part is due to the improper averaging technique at the interface of the two dielectric materials. It can be greatly improved using a more reasonable averaging technique at the interface. Our averaging scheme is shown in Fig. 3.  $\epsilon_{rx}$ ,  $\epsilon_{ry}$  and  $\epsilon_{rz}$  are the averaged dielectric constant of the cell located at the same position as  $E_x$ ,  $E_y$  and  $E_z$ .

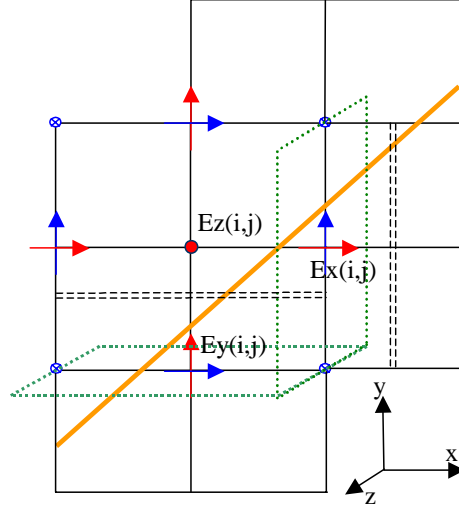


Fig. 3. The six field components and the discretization of the transverse index profile in the x-y plane. The E and H components are in red and blue colors respectively. The orange line denotes the curved interface across the cells, and the dotted cells show the integration plane for  $E_x$  and  $E_y$  respectively.

First, we check the averaging technique using Ampere's Law (the curl equation):

$$\frac{\partial}{\partial t} \iint_{A_1} \epsilon_x E_x dydz = \oint_{L_1} H \cdot dl \quad (14a)$$

$$\frac{\partial}{\partial t} \iint_{A_2} \epsilon_y E_y dx dz = \oint_{L_2} H \cdot dl \quad (14b)$$

$$\frac{\partial}{\partial t} \iint_{A_3} \epsilon_z E_z dx dy = \oint_{L_3} H \cdot dl \quad (14c)$$

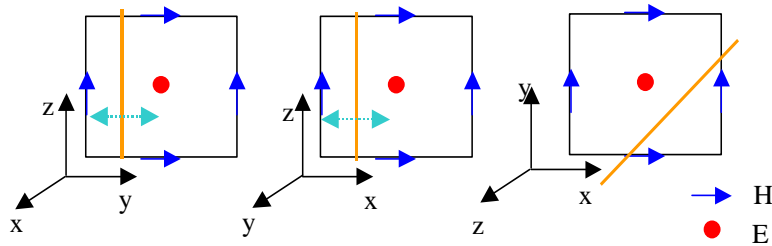


Fig. 4. Calculation of  $E_x$ ,  $E_y$  and  $E_z$  using Ampere's law. The orange line denotes the dielectric boundary in the integration plane. From left to right are the integration cells for  $E_x$ ,  $E_y$  and  $E_z$  respectively.

The integration area is the cell formed by the four surrounding H components as shown in Fig. 4. It shows the intersection of the curved interface on the integration surface. In each of

these surfaces, the E component is tangential to the interface and will be continuous across it, and the average of the E field is used for the value at the cell center. Taking  $E_z$  as an example:

$$\frac{\partial}{\partial t}(\bar{\epsilon}_z \bar{E}_z \Delta x \Delta y) = \oint_{L_3} H \cdot dl \quad \text{and} \quad \bar{\epsilon}_z = f \epsilon_a + (1-f) \epsilon_b \quad (15)$$

For  $\epsilon_x$  in yz plane and  $\epsilon_y$  in xz plane, the averaging is easy to do since the boundary is parallel to z direction and the integration cell shrinks to a line, which is shown in Fig. 3 as the dotted cells.

$E_x$  and  $E_y$  in the xy plane as shown in Fig. 3 are not tangential to the dielectric interface, and therefore will not be continuous across the dielectric boundary. As in Fig. 4, when the integration surface for  $E_x$  moves along x in the cell on the xy plane, the interface will shift, and similar is the case for  $E_y$ . Since  $E_x$  and  $E_y$  are the average field values of the cell in xy plane, another average has to be taken and the averaged dielectric values are [28]:

$$\bar{\epsilon}_x = \left[ \frac{1}{\Delta x} \int_x^{x+\Delta x} \frac{1}{\epsilon_a f(x) + \epsilon_b (1-f(x))} dx \right]^{-1} \quad (16)$$

$$\bar{\epsilon}_y = \left[ \frac{1}{\Delta y} \int_y^{y+\Delta y} \frac{1}{\epsilon_a f(y) + \epsilon_b (1-f(y))} dy \right]^{-1} \quad (17)$$

The average rule described in Eqs. (13, 16-17) could also be derived as the classical rules for the evaluation of effective dielectric constants as described in Milton's book [49]. The integration can be approximated well using a denser subcell mesh along x or y as the two dashed lines shown in Fig. 3. The same averaging procedure can be applied to magnetic materials with a profile of  $\mu_r(r)$  and our FDFD algorithm is also applicable for these materials.

Since our averaging technique (Eqs. (15-17)) satisfies Ampere's Law everywhere across the boundary, we introduced it to reduce the possible spurious modes by finite difference scheme. Surprisingly, it is found to be very effective to improve the accuracy and convergence of the imaginary part. The same fiber is calculated again with this averaging technique and the results are shown in Fig. 5 and Table 1.

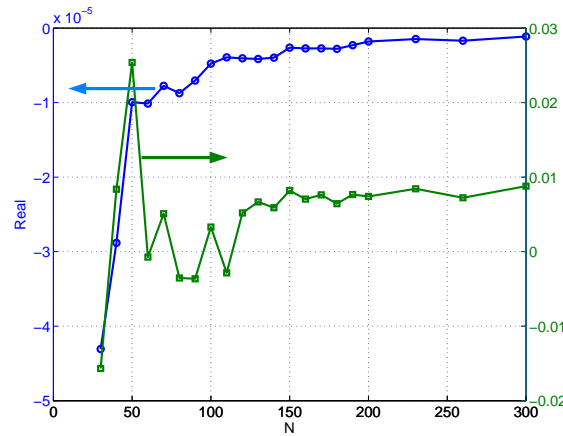


Fig. 5. The accuracy and convergence of the complex effective mode index using a more reasonable averaging technique. Note that the scale of the right y-axis is at least an order of magnitude smaller than the corresponding one in Fig. 2.

The convergence and accuracy of the real part shown in the Fig. 5 are similar to those in Fig.2 which was obtained using the previous averaging technique. However, the convergence and the accuracy of the imaginary part are increased at least by one order of magnitude, with an error of 2% for a very coarse 30x30 mesh. The accuracy can be  $10^{-3}$  for a moderately fine mesh.

Table 1. Calculated mode index of the fundamental mode. The accurate value is  $1.445395345 + 3.15 \times 10^{-8}i$  (by multipole method in [32])

N	Real	Imaginary	N	Real	Imaginary
30	1.4453331	$3.101 \times 10^{-8}$	140	1.4453896	$3.169 \times 10^{-8}$
40	1.4453537	$3.176 \times 10^{-8}$	150	1.4453915	$3.176 \times 10^{-8}$
50	1.4453810	$3.230 \times 10^{-8}$	160	1.4453914	$3.172 \times 10^{-8}$
60	1.4453807	$3.148 \times 10^{-8}$	170	1.4453914	$3.174 \times 10^{-8}$
70	1.4453841	$3.166 \times 10^{-8}$	180	1.4453913	$3.170 \times 10^{-8}$
80	1.4453827	$3.139 \times 10^{-8}$	190	1.4453920	$3.174 \times 10^{-8}$
90	1.4453852	$3.139 \times 10^{-8}$	200	1.4453927	$3.173 \times 10^{-8}$
100	1.4453884	$3.160 \times 10^{-8}$	230	1.4453932	$3.177 \times 10^{-8}$
110	1.4453897	$3.141 \times 10^{-8}$	260	1.4453929	$3.173 \times 10^{-8}$
120	1.4453895	$3.166 \times 10^{-8}$	300	1.4453937	$3.178 \times 10^{-8}$
130	1.4453894	$3.171 \times 10^{-8}$			

The converged imaginary part is still 1% larger than the accurate value, and it is due to the finite computation region. We have also varied the computation region to 1.8a and 2.0a, and the systematic error has been found reduced at the cost of increased computation.

Figure 6 shows the calculated fundamental mode and 2<sup>nd</sup>-order mode of the mode class 3 and 4 in the holey fiber. These modes are well confined by the single ring of the air holes and show the symmetries as discussed above. Once  $H_x$  and  $H_y$  ( $E_x$  and  $E_y$ ) are solved, the other components can be obtained directly using Eqs. (6a-b).

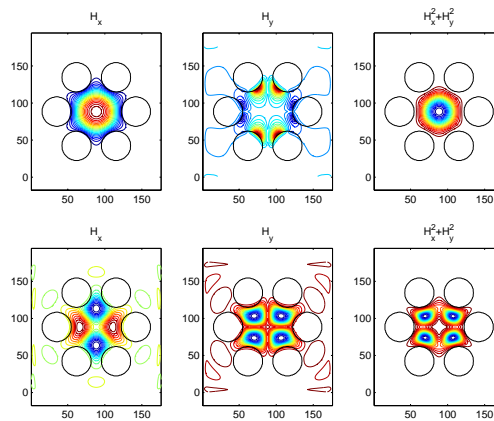


Fig. 6. The mode field patterns of the fundamental mode (top three) and 2<sup>nd</sup>-order mode (bottom three) in the degenerate mode class 3 and 4.

### 3.1 Effect of dispersive and lossy/gain materials

The effect of dispersive material on the group velocity dispersion can be easily obtained by any algorithm including this method that solves eigen-value problems for the mode propagation constants at a given wavelength. This is achieved by replacing the dielectric

constant with a wavelength dependent one. In addition, the total dispersion can be estimated using the sum of material dispersion and waveguide dispersion.

The propagation loss/gain induced by lossy/gain media is also of interest in a waveguide since it is important for long haul transmission or laser applications. The compact-2D FDFD method is also capable of solving it, and no additional work is needed except that the real dielectric constant is replaced with a complex one whose imaginary part represents the loss/gain of the media; the calculated propagation constant would reflect the material loss. To separate the leakage loss from the material loss/gain, the PML layers are removed, leaving only the zero boundaries outside the computation region. The material loss in PCF has been analyzed in [50] using the Hermite-Gauss method and here we just verify their results for a standard fiber ( $a=2.2\mu\text{m}$ , cladding index=1.458, core index= $1.475+i n_i$  and  $\lambda=1.55\mu\text{m}$ ). The results are shown in Table 2. Our FDFD is in excellent agreement with the localized function methods.

Table 2. The complex mode index with a lossy core material

$n_i$	$10^{-5}$	$10^{-3}$	$10^{-2}$
Scalar[50]	$1.465045+7.4331\times 10^{-6}i$	$1.465037+7.4294\times 10^{-4}i$	$1.464308+7.6918\times 10^{-3}i$
Vectorial[50]	$1.464993+7.3805\times 10^{-6}i$	$1.464985+7.3835\times 10^{-4}i$	$1.464256+7.6446\times 10^{-3}i$
FDFD	$1.464981+7.3752\times 10^{-6}i$	$1.464972+7.3782\times 10^{-4}i$	$1.464241+7.6398\times 10^{-3}i$

### 3.2 Spurious modes

One problem encountered in our method is the introduction of spurious modes. It is known that some spurious modes are from the lack of tangential continuity for the finite difference of the curved dielectric boundaries [28]. However, we did not observe such kind of spurious modes using our proper averaging technique. A major cause of the spurious modes in our method is the cladding modes due to the zero boundary or PML outside. Since the PML and zero boundary still reflect a very small part of energy back to the inside region, an artificial waveguide between the boundary and the air holes is formed. These modes are easily identified as spurious since they have much higher leakage loss. Figure 7 shows the mode patterns of some spurious cladding modes obtained in our calculation. These modes are confined between the boundary and air holes and have very small power portions in the core region.

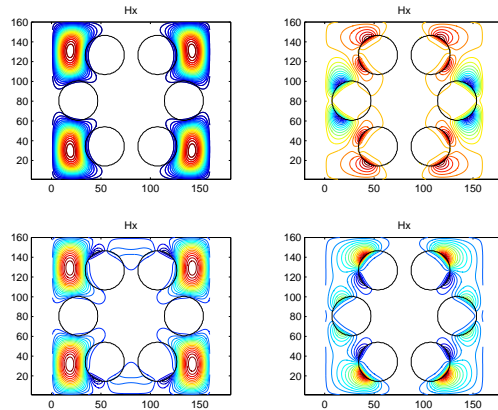


Fig. 7. Some spurious cladding modes created by the artificial waveguide between the PML + zero boundary and the air holes. These modes are weak and highly lossy.

#### **4. Conclusions**

In conclusion, we have used the compact-2D FDFD algorithm based on the direct solution of Maxwell's equations to analyze leakage loss by introducing the anisotropic PML layers. It is found that the curved interface should be averaged according to Ampere's Law in order to achieve a high accuracy and fast convergence in both the dispersion and leakage loss. Spurious modes are generated in the cladding area due to the artificial waveguide between the absorption boundary and the air holes.

#### **Acknowledgments**

The research at Old Dominion University is supported by NASA Langley Research Center through NASA-University Photonics Education and Research Consortium (NUPERC). Some helpful discussions with Qian Jun at Old Dominion University are acknowledged.